PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : André Bremond et al.

Application No. : 09/863,811

Filed : May 22, 2001

For : SERIES PROTECTION DEVICE FOR A TELEPHONE LINE

Art Unit : 2836

Docket No. : 859063.495

Date : September 19, 2001

Box Missing Parts
Commissioner for Patents
Washington, DC 20231

## RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Commissioner for Patents:

In response to the Notice to File Missing Parts dated July 23, 2001, please find enclosed the following documents:

   __X__   Fee

   __X__   Oath/Declaration and Power of Attorney

   __X__   Copy of Notice to File Missing Parts

   _____   Sheets of Formal Drawings

   _____   Other _____

Respectfully submitted,

André Bremond et al.

Seed Intellectual Property Law Group PLLC

David V. Carlson
Registration No. 31,153

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09-21-01

EXPRESS MAIL NO. EL755723722US

SECTOR #

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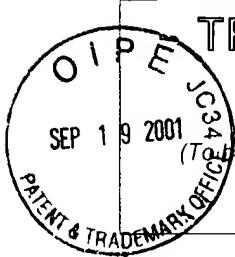
# TRANSMITTAL FORM

*(To be used for all correspondence after initial filing)*

| | |
|---|---|
| Application Number | **09/863,811** |
| Filing Date | **May 22, 2001** |
| First Named Inventor | **André Bremond** |
| Group Art Unit | **2836** |
| Examiner Name | |
| Attorney Docket No. | **859063.495** |

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SEP 19 2001
JC34
PATENT & TRADEMARK OFFICE

## ENCLOSURES (*check all that apply*)

☒ Fee Transmittal Form
　☒ Fee Attached
☐ Amendment/Response
　☐ After Final
　☐ Affidavits/declaration(s)
☐ Extension of Time Request
☐ Express Abandonment Request
☒ Information Disclosure Statement; Form PTO-1449
☒ Cited References
☒ Certified Copy of Priority Document(s)
☒ Response to Missing Parts under 37 C.F.R. 1.52 or 1.53
☐ Response to Missing Parts/Incomplete Application

☐ Assignment Papers (*for an Application*)
☐ Drawing(s)
☐ Request for Corrected Filing Receipt
☐ Licensing-related Papers
☐ Petition
☐ Petition to Convert to a Provisional Application
☐ Power of Attorney, Revocation, Change of Correspondence Address
☒ Declaration
☐ Statement under 37 CFR 3.73(b)
☐ Terminal Disclaimer
☐ Small Entity Statement
☐ Request for Refund

☐ CD(s), Number of CD(s) _____
☐ After Allowance Communication to Group
☐ Appeal Communication to Board of Appeals and Interferences
☐ Appeal Communication to Group *(Appeal Notice, Brief, Reply Brief)*
☐ Proprietary Information
☐ Status Letter
☒ Return Receipt Postcard
☒ Additional Enclosure(s) *(please identify below):*
Application Data Sheet
_____
_____
_____

Remarks

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

| Individual Name | David V. Carlson | |
|---|---|---|
| Signature | *[signature]* | 00500
PATENT TRADEMARK OFFICE |
| Date | September 19, 2001 | |
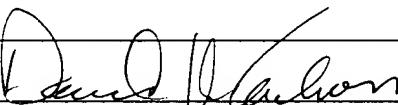
## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on the date specified below.

| Typed or printed name | | |
|---|---|---|
| Signature | | Date: |
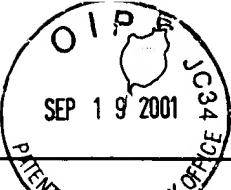
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# FEE TRANSMITTAL
## for FY 2001

*Patent fees are subject to annual revision.*

**Complete if Known**

| | |
|---|---|
| Application Number | **09/863,811** |
| Filing Date | **May 22, 2001** |
| First Named Inventor | **André Bremond** |
| Examiner Name | |
| Group Art Unit | **2836** |
| Attorney Docket No. | **859063.495** |

| TOTAL AMOUNT OF PAYMENT | ($) | 840 |
|---|---|---|

SEP 19 2001

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## METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: **19-1090**

Deposit Account Name: **Seed Intellectual Property Law Group** PLLC

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 and credit any overpayment to Deposit Account Number above.

☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check  ☐ Credit card  ☐ Money Order  ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

| Large Entity Fee Code | Fee ($) | Small Entity Fee Code | Fee ($) | Fee Description | Fee Paid |
|---|---|---|---|---|---|
| 101 | 710 | 201 | 355 | Utility filing fee | 710 |
| 106 | 320 | 206 | 160 | Design filing fee | |
| 107 | 490 | 207 | 245 | Plant filing fee | |
| 108 | 710 | 208 | 355 | Reissue filing fee | |
| 114 | 150 | 214 | 75 | Provisional filing fee | |

SUBTOTAL (1) ($) **710**

### 2. EXTRA CLAIM FEES

| | | Extra Claims | Fee from below | Fee Paid |
|---|---|---|---|---|
| Total Claims | **12** -20** = | **0** | X **18** = | -0- |
| Independent Claims | **3** -3** = | **0** | X **80** = | -0- |
| Multiple Dependent | | | X | = |

| Large Entity Fee Code | Fee ($) | Small Entity Fee Code | Fee ($) | Fee Description |
|---|---|---|---|---|
| 103 | 18 | 203 | 9 | Claims in excess of 20 |
| 102 | 80 | 202 | 40 | Independent claims in excess of 3 |
| 104 | 270 | 204 | 135 | Multiple dependent claim, if not paid |
| 109 | 80 | 209 | 40 | ** Reissue independent claims over original patent |
| 110 | 18 | 210 | 9 | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) ($) **-0-**

**or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

| Large Entity Fee Code | Fee ($) | Small Fee Code | Fee ($) | Fee Description | Fee Paid |
|---|---|---|---|---|---|
| 105 | 130 | 205 | 65 | Surcharge - late filing fee or oath | 130 |
| 127 | 50 | 227 | 25 | Surcharge - late provisional filing fee or cover sheet. | |
| 139 | 130 | 139 | 130 | Non-English specification | |
| 147 | 2,520 | 147 | 2,520 | For filing a request for *ex parte* reexamination | |
| 112 | 920* | 112 | 920* | Requesting publication of SIR prior to Examiner action | |
| 113 | 1,840* | 113 | 1,840* | Requesting publication of SIR after Examiner action | |
| 115 | 110 | 215 | 55 | Extension for reply within first month | |
| 116 | 390 | 216 | 195 | Extension for reply within second month | |
| 117 | 890 | 217 | 445 | Extension for reply within third month | |
| 118 | 1,390 | 218 | 695 | Extension for reply within fourth month | |
| 128 | 1,890 | 228 | 945 | Extension for reply within fifth month | |
| 119 | 310 | 219 | 155 | Notice of Appeal | |
| 120 | 310 | 220 | 155 | Filing a brief in support of an appeal | |
| 121 | 270 | 221 | 135 | Request for oral hearing | |
| 138 | 1,510 | 138 | 1,510 | Petition to institute a public use proceeding | |
| 140 | 110 | 240 | 55 | Petition to revive – unavoidable | |
| 141 | 1,240 | 241 | 620 | Petition to revive – unintentional | |
| 142 | 1,240 | 242 | 620 | Utility issue fee (or reissue) | |
| 143 | 440 | 243 | 220 | Design issue fee | |
| 144 | 600 | 244 | 300 | Plant issue fee | |
| 122 | 130 | 122 | 130 | Petitions to the Commissioner | |
| 123 | 130 | 123 | 130 | Petitions related to provisional applications | |
| 126 | 180 | 126 | 180 | Submission of Information Disclosure Stmt | |
| 581 | 40 | 581 | 40 | Recording each patent assignment per property (times number of properties) | |
| 146 | 710 | 246 | 355 | Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| 149 | 710 | 249 | 355 | For each additional invention to be examined (37 CFR § 1.129(b)) | |
| 179 | 710 | 279 | 355 | Request for Continued Examination (RCE) | |
| 169 | 900 | 169 | 900 | Request for expedited examination of a design application | |

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) ($)**130**

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## SUBMITTED BY

| Name (Print/Type) | **David V. Carlson** | Registration No. Attorney/Agent | **31,153** |
|---|---|---|---|

Firm Name/Address

Signature

Date **September 19, 2001**

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PATENT TRADEMARK OFFICE
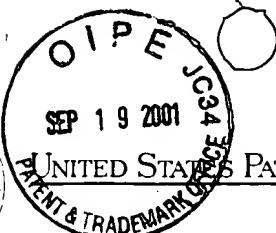
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UNITED STATES PATENT AND TRADEMARK OFFICE

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|---|---|---|---|
| 09/863,811 | 05/22/2001 | Andre Bremond | 859063.495 |

**CONFIRMATION NO. 8908**

00500
SEED INTELLECTUAL PROPERTY LAW GROUP PLLC
701 FIFTH AVE
SUITE 6300
SEATTLE, WA 98104-7092

**FORMALITIES LETTER**

*OC000000006326912*

Date Mailed: 07/23/2001

# NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

### *Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- o The statutory basic filing fee is missing.
  *Applicant must submit $ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- o The oath or declaration is missing.
  *A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- o To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of $130 for a non-small entity, must be submitted with the missing items identified in this letter.
- o **The balance due by applicant is $ 840.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE

09/25/2001 SHIMASS1 00000011 09863811
01 FC:101                710.00 OP
02 FC:105                130.00 OP